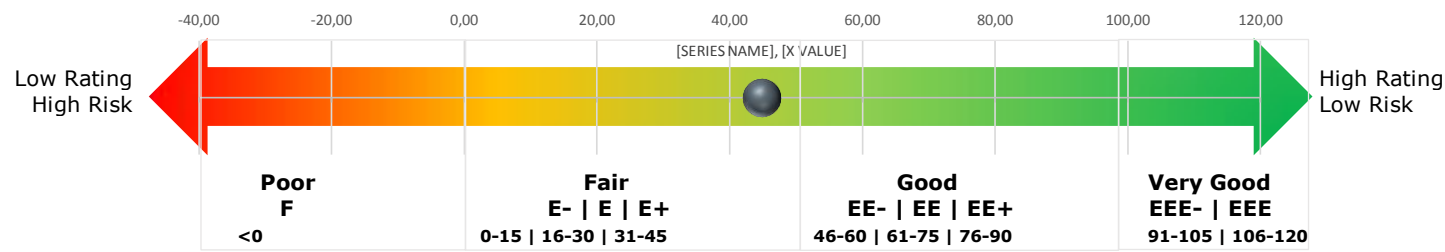


# ESG Summary Report

## Portfolio:

## KSAM Einkommen Aktiv

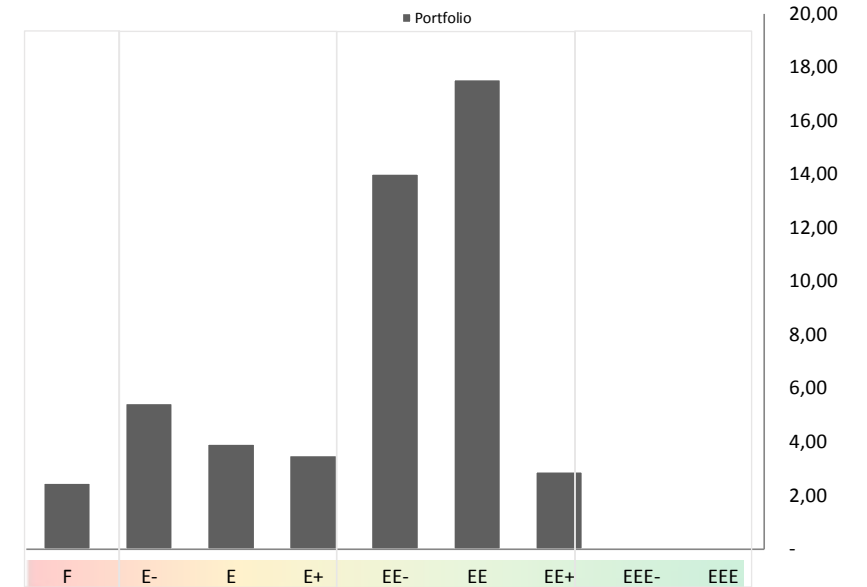
30.07.2021



\* The ESG coverage degree for the portfolio is 49,76% of NAV (65,19% of the in scope securities).

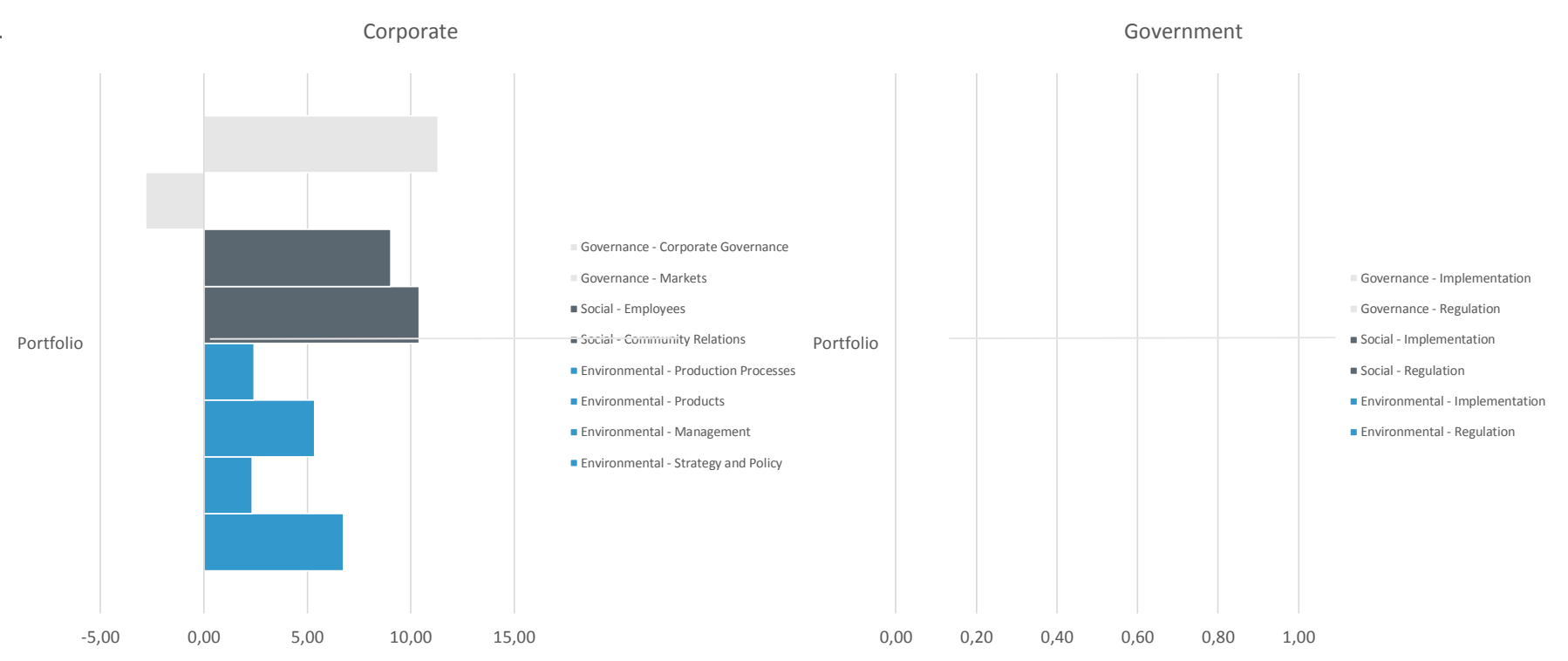
Summary	
Portfolio	
<b>Total Rating</b>	<b>E+</b>
Environmental	EE-
Social	EE-
Governance	E
<b>Total Score</b>	<b>44,80</b>
Environmental	16,85
Social	19,44
Governance	8,51

ESG Total Rating Distribution	
Portfolio	
EEE	-
EEE-	-
EE+	2,88
EE	17,54
EE-	14,02
E+	3,50
E	3,92
E-	5,43
F	2,46
<b>Unclassified*</b>	<b>50,24</b>
Cash	23,67
Derivatives	0,20
Equity	5,06
Fixed Income	10,43
Funds	6,49
Other	4,81
<b>Corporate</b>	<b>49,76</b>
<b>Government</b>	<b>-</b>



## ESG Decomposition

Portfolio	
<b>Total Score</b>	<b>44,80</b>
<b>Environmental</b>	<b>16,85</b>
<b>Corporate</b>	<b>16,85</b>
Strategy and Policy	6,74
Management	2,33
Products	5,36
Production Processes	2,42
<b>Government</b>	<b>0,00</b>
Regulation	N.V.
Implementation	N.V.
<b>Social</b>	<b>19,44</b>
<b>Corporate</b>	<b>19,44</b>
Community Relations	10,41
Employees	9,03
<b>Government</b>	<b>0,00</b>
Regulation	N.V.
Implementation	N.V.
<b>Governance</b>	<b>8,51</b>
<b>Corporate</b>	<b>8,51</b>
Markets	-2,81
Corporate Governance	11,31
<b>Government</b>	<b>0,00</b>
Regulation	N.V.
Implementation	N.V.



## Top/Bottom 10 Rated Securities

	Currency	ESG Total Score at End	Trend	Weight at End	ESG Total Rating at End	ESG Environmental Rating at End	ESG Social Rating at End	ESG Governance Rating at End
<b>Total Portfolio</b>	N.V.	<b>44,80</b>	▼	<b>100,00</b>	<b>E+</b>	<b>EE-</b>	<b>EE-</b>	<b>E</b>
Accor 19/und. Flr	EUR	85,00	→	0,51	EE+	EE+	EE+	EEE-
Symrise Ag Anl.20/27	EUR	82,50	→	0,84	EE+	EE	EE+	EE+
Moncler S.P.A.	EUR	79,00	→	0,92	EE+	EE	EEE-	EE-
Uniper Se Na O.N.	EUR	78,00	→	0,09	EE+	EEE-	EEE-	E+
Dnb Bank 18/23 Mtn	NOK	75,50	→	0,53	EE+	EE+	EE+	EE-
Kion Grp Mtn 20/25	EUR	74,00	→	1,12	EE	EE	EEE-	EE-
Tel.Europe 21/und. Flr	EUR	72,50	→	0,53	EE	EE+	EEE	E
Agrana Bet.Ag Inh.	EUR	71,00	→	0,38	EE	EE-	EEE-	EE-
Fraport Ag 20/24	EUR	69,00	→	1,91	EE	EE+	EE-	EE
Hapag-Iloyd Ag 21(28)Regs	EUR	68,50	→	1,10	EE	EE	EEE-	E+
Pet. Mex. 18/23	CHF	-96,00	▼	1,00	F	F	F	F
Brit.Amer.Tobacco Ls-,25	GBP	-65,00	→	0,72	F	EE	F	F
Bayer Ag Na O.N.	EUR	-15,00	→	0,74	F	E	E	F
Alphabet Inc.Cl.A DI-,001	USD	6,00	→	1,20	E-	EE-	E	F
Adler Group 21/26	EUR	12,00	→	1,03	E-	E-	E-	E+
Facebook Inc.A DI-,000006	USD	12,00	→	0,40	E-	EE	EE	F
Suedzucker Ag O.N.	EUR	12,00	→	0,80	E-	E-	E-	E
Suedzucker Intl05/und.Flir	EUR	12,00	→	1,14	E-	E-	E-	E
Bains Mer Monaco Eo 1	EUR	13,00	→	0,39	E-	E-	E-	E
Porsche Autom.Hldg Vzo	EUR	14,50	→	0,48	E-	E+	E+	F

## Legend

Governments	
<b>Implementation</b>	Effectiveness of a Government in implementing national legislation compliant with international standards and in enforcing it efficiently.
<b>Regulation</b>	Assessment of the level of compliance of a Government towards different international treaties, conventions and best practices representing the international standards.
Corporate	
<b>Strategy and Policy</b>	Assessment of the level to which a company's policies reflect an ongoing commitment to environmental programs and values.
<b>Management</b>	Availability, transparency, quality and compliance of a company's environmental policies to established standards and regulations.
<b>Products</b>	Product research and development based on environmental impact and the launch of innovative products, life cycle analysis and recycling.
<b>Production Processes</b>	Impact level and recent trend of pollutant emissions, water treatment and use, waste treatment, land and energy use.
<b>Community Relations</b>	Commitment to human rights, local development, sponsorships and social programs.
<b>Employees</b>	Commitment to labour rights, health and safety programs and inclusion strategies (diversity policy).
<b>Markets</b>	Compliance with anti-corruption regulation and transparency on products and services; presence and scope of whistle-blowing policy, ethics code and other related procedures.
<b>Corporate Governance</b>	Presence and scope of governance guidelines concerning code of conduct, insider dealing, conflict of interests; compliance with established transparency and accounting regulations.
Formulae	
<b>In scope securities</b>	Any security belonging to Equity or Fixed Income Asset Class, including Warrants and Certificates. For Target Funds calculated by LRRI the look through function can be applied. Cash, Funds/ETFs, Derivatives or Real Estate/Private Equities can't be decomposed via the look through application at this stage.
<b>ESG Score (Total, E-S-G)</b>	Weighted average of the correspondent corporate and government scores.

\* 'Unclassified Positions' comprises all assets that have not been assigned an ESG rating. Corresponding analyses are carried out on an ongoing basis.